PTO-1449		ATTY. DOCKET NO. 10392-46901	SERIAL NO.: 09/537,800
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT **Steven M.H. WALLMAN**	
		FILING DATE **29 March 2000**	GROUP ~~2768~~ 3623
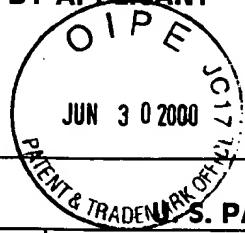
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS/SUBCLASS	
ul	4,346,442	08/24/82	Musmanno	705/36	~~364/408~~
ul	4,376,978	03/15/83	Musmanno	705/36	~~364/408~~
ul	4,566,066	01/21/86	Towers	705/36	~~364/408~~
ul	4,597,046	06/24/86	Musmanno et al.	705/36	~~364/408~~
ul	4,642,768	02/10/87	Roberts	705/35	~~364/408~~
ul	4,648,038	03/03/87	Roberts et al.	705/38	~~364/408~~
ul	4,674,044	06/16/87	Kalmus et al.	705/37	~~364/408~~
ul	4,750,121	06/07/88	Halley et al.	705/35	~~364/408~~
ul	4,751,640	06/14/88	Lucas et al.	705/36	~~364/408~~
ul	4,774,663	09/27/88	Musmanno et al.	705/36	~~364/408~~
ul	4,910,676	03/20/90	Alldredge	705/37	~~364/408~~
ul	4,933,842	06/12/90	Durbin et al.	705/30	~~364/408~~
ul	4,953,085	08/28/90	Atkins	705/36	~~364/408~~
ul	4,980,826	12/25/90	Wagner	705/37	~~364/408~~
ul	4,989,141	01/29/91	Lyons et al.	705/36	~~364/408~~
ul	4,994,964	02/19/91	Wolfberg et al.	705/36	~~364/408~~
ul	5,038,284	08/06/91	Kramer	705/37	~~364/408~~
ul	5,101,353	03/31/92	Lupien et al.	705/37	~~364/408~~
ul	5,126,936	06/30/92	Champion et al.	705/36	~~364/408~~
ul	5,132,899	07/21/92	Fox	705/36	~~364/408~~
ul	5,148,365	09/15/92	Dembo	705/36	~~364/402~~
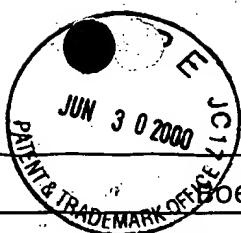
ik	5,193,056	03/09/93	Boes	705/36	~~364/408~~
ik	5,202,827	04/13/93	Sober	705/36	~~364/408~~
ik	5,210,687	05/11/93	Wolfberg et al.	705/36	~~364/408~~
ik	5,214,579	05/25/93	Wolfberg et al.	705/36	~~364/408~~
ik	5,220,500	06/15/93	Baird et al.	705/36	~~364/408~~
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ik	5,262,942	11/16/93	Earle	705/37	~~364/408~~
ia	5,270,922	12/14/93	Higgins	705/37	~~364/408~~
ik	5,297,032	03/22/94	Trojan et al.	705/37	~~364/408~~
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ill	5,497,317	03/05/96	Hawkins et al.	705/37	~~364/408~~
ill	5,517,406	05/14/96	Harris et al.	705/30	~~364/408~~
ill	5,649,116	07/15/97	McCoy et al.	705/38	~~395/238~~
ill	5,664,115	09/02/97	Fraser	705/37	~~705/37~~
ill	5,671,363	09/23/97	Cristofich et al.	705/37	~~395/237~~
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ill	5,704,045	12/30/97	King et al.	705/35	~~395/235~~
ik	5,710,889	01/20/98	Clark et al.	235/379	~~395/244~~
ik	5,724,524	03/03/98	Hunt et al.	705/37	~~395/237~~
ik	5,745,706	04/28/98	Wolfberg et al.	705/35	~~395/235~~
ik	5,729,700	03/17/98	Melnikoff		~~395/236~~
ik	5,749,077	05/05/98	Campbell	705/36	~~705/36~~
ik	5,758,097	05/26/98	Debe et al.	705/35	~~395/235~~
ill	5,761,441	06/02/98	Bennett	705/35	~~395/235~~
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ill	5,765,141	06/09/98	Spector	705/14	~~705/14~~
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ik	5,784,696	07/21/98	Meinikoff	705/36	~~705/36~~
ill	5,794,219	08/11/98	Brown	705/37	~~705/37~~

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Uc ✓	5,806,047	09/08/98	Hackel et al.		705/36
Uc ✓	5,806,049	09/098/98	Petruzzi		705/36
Uc ✓	5,812,987	09/22/98	Luskin et al.		705/36
Uc ✓	5,918,218	06/29/99	Harris et al.		705/37
Uc ✓	5,930,774	07/27/99	Chennault		705/36
Uc ✓	5,946,666	08/31/99	Nevo et al.		705/36
Uc ✓	5,978,778	11/02/99	O'Shaughnessy		705/36

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
Uc ✓	WO 98/44443	EPO				X
Uc ✓	WO 98/44444	EPO				X
Uc ✓	WO 99/28845	EPO				X

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
Uc ✓	Robert Barker, A Capital-Gains Miracle Worker, BUSINESS WEEK, January 31, 2000, p.130.
Uc ✓	Anne Tergesen, Here Come the E-Funds, BUSINESS WEEK, January 31, 2000, p. 125.
Uc ✓	1998 ADP Investor Communications Services, ProxyEdge™ 2000.

EXAMINER	DATE CONSIDERED
[signature]	2/27/03

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with M.P.E.P. 609; strike out citation if not in conformance and not considered. Include copy of this form with next communication to applicant.